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PATENT
83348.0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenji OMIYA

Serial No: 09/929,199

Filed: August 15, 2001

For: METHOD AND SYSTEM FOR
HANDLING ESCROW ARRANGEMENTS

Art Unit: 2161

Examiner: Not assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION**

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated September 20, 2001, response to which is due November 20, 2001, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted."
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).
- ☒ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ A check in the amount of \$370.00 in payment of the filing fee.
- ☒ A check in the amount of \$65.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,
Date: October 17, 2001

By: Lawrence J. McClure
Lawrence J. McClure
Registration No. 44,228
Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.
500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Phone: 213-337-6700
Fax: 213-337-6701

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
Washington D.C. 20231, on

October 17, 2001

Date of Deposit

Lawrence J. McClure

Name

Signature

Date
10/17/01



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,199	08/15/2001	Kenji Omiya	83348.0001

000026021
HOGAN & HARTSON L.L.P.
500 S. GRAND AVENUE
SUITE 1900
LOS ANGELES, CA 90071-2611

CONFIRMATION NO. 2706

FORMALITIES LETTER



OC000000006584225

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

AM

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 BABRAHA1 00000251 09929199

01 FC:201
02 FC:205

370.00 OP
65.00 OP